

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**ATI-0006-D2**

In Re Application Of: **Kinnard et al.**

Serial No.  
**10/663,088**

Filing Date  
**9/16/2003**

Examiner

Group Art Unit

Title: **WAFER TRANSPORT APPARATUS**

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

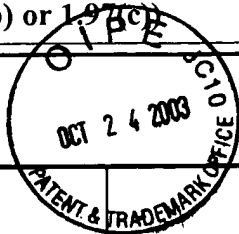
**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
ATI-0006-D2

In Re Application: Kinnard et al.



Serial No.

10/663,088

Filing Date

9/16/2003

Examiner

Group Art Unit

**WAFER TRANSPORT APPARATUS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

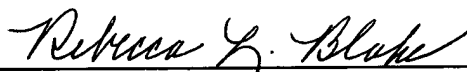
\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 10/22/2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

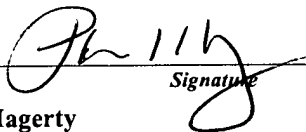


\_\_\_\_\_  
Signature of Person Mailing Correspondence

Rebecca L. Blake

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

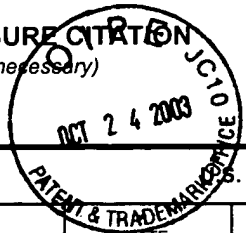
  
Signature

Peter R. Hagerty  
Registration No. 42,618  
Customer No. 23413  
Telephone No. 860-286-2929

Dated: October 22, 2003

CC:

# **INFORMATION DISCLOSURE CITATION** (Use several sheets if necessary)



ATTY DOCKET NO.  
**ATI-0006-D2**

SERIAL NO.  
**10/663,088**

**Kinnard et al.**

FILING  
**9/16/2003**

GROUP

## **U.S. PATENT DOCUMENTS**

| *EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE    | NAME             | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----------------|---------|------------------|-------|----------|-------------------------------|
|                      | 4,483,654       | 11-1984 | Koch et al.      |       |          |                               |
|                      | 4,584,045       | 04-1986 | Richards         |       |          |                               |
|                      | 4,728,252       | 03-1988 | Lada et al.      |       |          |                               |
|                      | 4,749,330       | 06-1988 | Hine             |       |          |                               |
|                      | 5,083,896       | 01-1992 | Uehara et al.    |       |          |                               |
|                      | 5,223,112       | 06-1993 | Tepman           |       |          |                               |
|                      | 5,902,088       | 05-1999 | Fairbairn et al. |       |          |                               |
|                      | 5,997,235       | 12-1999 | Hofmeister       |       |          |                               |
|                      | 6,235,656       | 05-2001 | Clarke           |       |          |                               |
|                      | 6,250,869       | 06-2001 | Kroeker          |       |          |                               |
|                      | 6,350,097       | 02-2002 | Mitchell et al.  |       |          |                               |

## **FOREIGN PATENT DOCUMENTS**

|  | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|-----------------|------|---------|-------|----------|-------------|----|
|  |                 |      |         |       |          | YES         | NO |
|  |                 |      |         |       |          |             |    |
|  |                 |      |         |       |          |             |    |
|  |                 |      |         |       |          |             |    |
|  |                 |      |         |       |          |             |    |
|  |                 |      |         |       |          |             |    |

## **OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |  |  |  |
|--|--|--|--|
|  |  |  |  |
|  |  |  |  |

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

